

**IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF NORTH CAROLINA  
Case No. 5:13-cv-00667-H**

Tonya E. Summers,  
Plaintiff(s),  
  
vs  
  
First Financial Asset Management, Inc.,  
Defendant(s).

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**DISCLOSURE OF CORPORATE AFFILIATIONS AND OTHER ENTITIES WITH A  
DIRECT FINANCIAL INTEREST IN LITIGATION**

Pursuant to Fed.R.Civ.P. 7.1 and Local Civil Rule 7.3, or Fed.R.Crim.P. 12.4 and Local Criminal Rule 12.3,

First Financial Asset Management, Inc. who is defendant,  
(name of party) (plaintiff/defendant/other: \_\_\_\_\_)

makes the following disclosure:

1. Is party a publicly held corporation or other publicly held entity?

YES ☐ NO ☒

2. Does party have any parent corporations?

YES ☒ NO ☐

If yes, identify all parent corporation, including grandparent and great-grandparent corporations:

**Strategic Alliance**

3. Is 10% or more of the stock of a party owned by a publicly held corporation or other publicly held entity?

YES ☐ NO ☒

If yes, identify all such owners:

4. Is there any other publicly held corporation or other publicly held entity that has a direct financial interest in the outcome of the litigation (Local Civil Rule 7.3 or Local Criminal Rule 12.3)?

YES ☐

NO ☒

If yes, identify entity and nature of interest:

5. Is party a trade association?

YES ☐

NO ☒

If yes, identify all members of the association, their parent corporations, and any publicly held companies that own 10% or more of a member's stock:

6. If case arises out of a bankruptcy proceeding, identify any trustee and the members of any creditors's committee:

N/A

Signature: /s/Caren D. Enloe

Date: 10/17/2013

### **CERTIFICATE OF SERVICE**

The undersigned hereby certifies that a copy of the foregoing document by depositing a copy thereof in the United States mail, first class postage prepaid, addressed as follows:

Tonya E. Summers  
527 Waterford Lake Drive  
Cary NC 27519

This the 17<sup>th</sup> day of October, 2013.

/s/ Caren D. Enloe

Caren D. Enloe  
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Management, Inc.*